

2.3.9 Stiffness and mass matrices for 1D elastostatics

• Shape functions are given by,

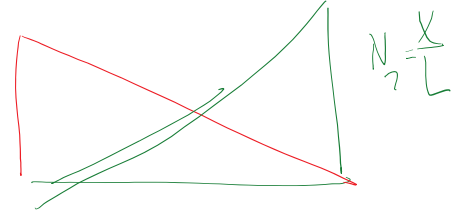
$$N^e = [N_1^e \ N_2^e] \text{ where } N_1^e = \frac{x_{i+1} - x}{L}, \ N_2^e = \frac{x - x_i}{L}, \ L = x_{i+1} - x_i$$

$$B = \frac{d}{dx} N = \frac{1}{L} [-1 \ 1]$$

$$K = \int B^T E B dx = \frac{EA}{L} [-1 \ 1]$$

1D, p=1 element

$$N_1 = 1 - \frac{x}{L}$$



Mass matrix  $M = \int_0^L \begin{bmatrix} 1-x/L \\ x/L \end{bmatrix} \begin{bmatrix} 1-x/L & x/L \end{bmatrix} \rho dx = \frac{L\rho}{6} \begin{bmatrix} 2 & 1 \\ 1 & 2 \end{bmatrix} = m \begin{bmatrix} 1/3 & 1/6 \\ 1/6 & 1/3 \end{bmatrix}$

the sum of components of mass matrix = mass of element

where  $\rho$  is mass density and  $m^e = AL\rho$  is the mass of element. Note that,

- The sum of components of  $M^e$  is equal to  $m^e$ .
- The mass matrix is NOT diagonal.
- As we will see this results in NON-DIAGONAL global level mass matrix.

2.3.10 Lumped mass matrix

p=1, 1D constant  $\rho(x), A(x)$

$$M^e = \begin{bmatrix} m/2 & 0 \\ 0 & m/2 \end{bmatrix} \quad \text{or} \quad M^e = \begin{bmatrix} m/3 & 0 & 0 \\ 0 & m/3 & 0 \\ 0 & 0 & m/3 \end{bmatrix}$$

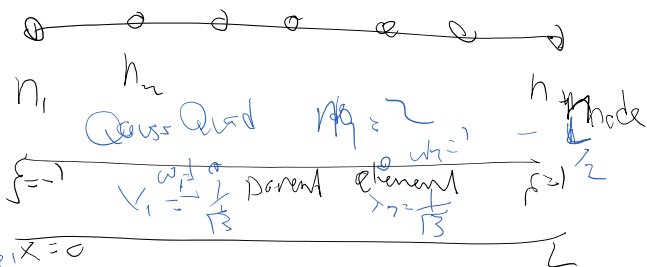
There is a systematic way to come up with diagonal mass matrices

What if we use a quadrature scheme that only samples on the nodes of the element?

$$M_{ij}^e = \int N_i^e(x) \rho N_j^e(x) dx = \sum_{k=1}^{n_q} w_k N_i^e(x_k) \rho(x_k) N_j^e(x_k) J(x_k)$$

$\rho(x_k) J(x_k) \rightarrow$  Jacobian

$x_k$ 's are element nodes if we use Newton-Cotes scheme (sampling @ equidistance points)

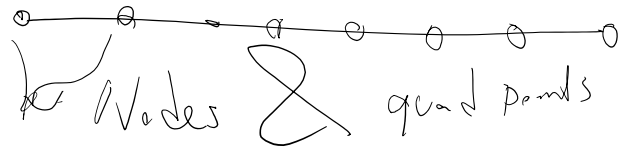


points  
 $n_q = n_{\text{node}}$

$$\sum_{k=1}^{n_q} w_k N_i^e(x_k) \rho(x_k) N_j^e(x_k) J(x_k)$$

$$N_i^e(x_k) = \delta_{ik}$$

shape func in  $\delta$  property



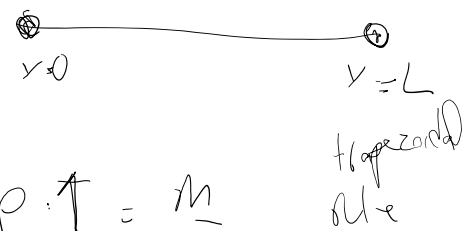
$$M_{ij}^e = \sum_k w_k \delta_{ik} \delta_{jk} J(x_k)$$

$$M_{ii}^e = w_i \rho(x_i) J(x_i)$$

$$M_{ij}^e = 0 \quad i \neq j$$

$p=1$

NC scheme  $\int_0^L f(x) dx = \frac{L}{2} (f(0) + f(L))$

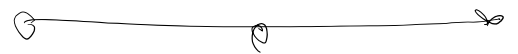


$$M = \begin{bmatrix} m/2 & 0 \\ 0 & m/2 \end{bmatrix} \quad M_{11} = \left(\frac{L}{2}\right) \rho \cdot A = \frac{m}{2}$$

Simpsons rule

$$\int_0^L f(x) dx = \frac{L}{6} f(0) + \frac{4L}{6} f\left(\frac{L}{2}\right) + \frac{L}{6} f(L)$$

$$M = \begin{bmatrix} m/6 & 0 & 0 \\ 0 & 4m/6 & 0 \\ 0 & 0 & m/6 \end{bmatrix}$$



$$M = \int_0^L \begin{bmatrix} 1-x/L \\ x/L \end{bmatrix} \rho \begin{bmatrix} 1-x/L & x/L \end{bmatrix} A dx$$

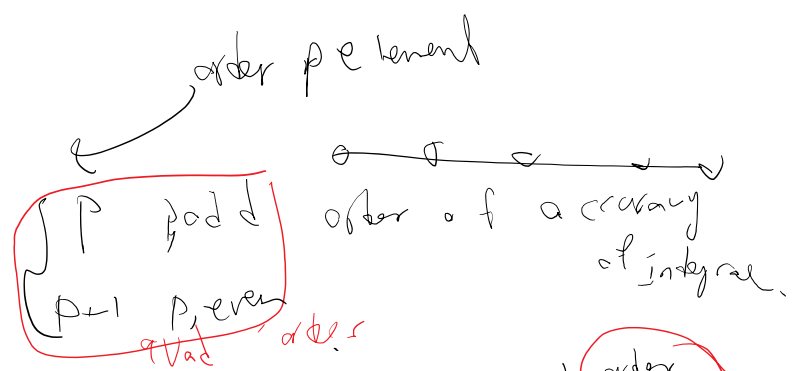
2nd order  $\rightarrow$  2 Gauss pts  
 $\rightarrow$  2+1 NC points

$p=1$  element  
 pt 1  $\rightarrow$  pt 2

→ 2+1 M R

We needed 3 NC points to exactly integrate M but for mass lumping we use only 2 points (only the nodes of the elements)

$p+1$  Nodes  
 → Newton-Cotes

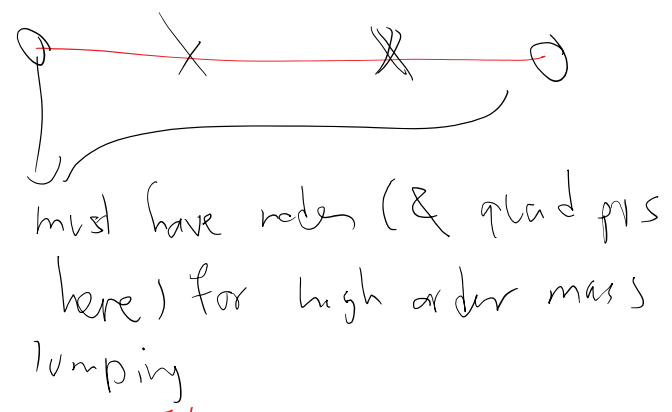


Shape function is order  $P \rightarrow$  Mass matrix integrated order  $2P$   
exact order of  $M$

In mass lumping we basically integrate M with about half the order of accuracy needed for its integration and this enables obtaining a lumped-mass matrix.

- For even higher order ( $p$ ) bar elements, unfortunately using uniform distant internal element nodes at  $L/p$  positions and quadrature points corresponding to those points limits the order of accuracy in which the mass matrix is integrated.
- This in turn affects the FEM solutions convergence rates for the nodal solution  $U$  and other solution features such as modal quantities; cf. §3.1.7.
- To not sacrifice the order in which the element mass matrix is integrated we do two things:
  1. Choose the two end point of the element as two of the quadrature points because we have no freedom in changing the position of the element end nodes.
  2. Similar to Gauss quadrature formulation we optimize the position of high order element nodal (i.e., quadrature) positions.
- As a result the corresponding quadrature scheme with these optimized points will have sufficient order of accuracy and the FEM solution convergence rates are not affected.

Element order  $p \rightarrow$   
 $p+1$  nodes



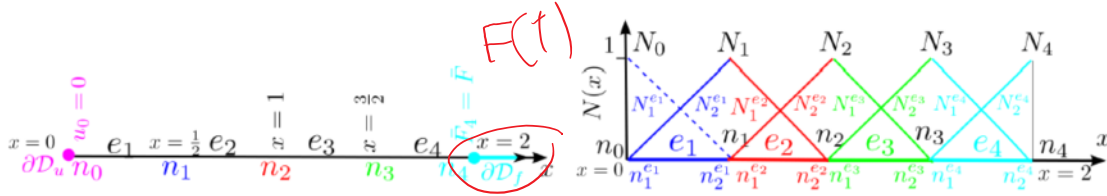
$2 \times 1 + (p-1) \times 2$   
 Corner nodes

$2P$  # equations  $\rightarrow$  integrate  $2p-1$   $p$ 's nominal order  
 Mass matrix  $2p$  order

- The optimized scheme of quadrature points that include the end points is called **Lobatto quadrature**
- For more information refer to Section 7.3.2 [Hughes, 2012].

For high order CFEM mass lumping put node locations (in parent element) on Lobatto points.

### 2.3.11 Example for the assembly of global matrix systems



- We recall that the element matrices for first order 1D bar elements were

$$k^e = \frac{AE}{L} \begin{bmatrix} 1 & -1 \\ -1 & 1 \end{bmatrix} \quad \text{Stiffness matrix} \quad (170a)$$

$$M^e = \frac{m^e}{6} \begin{bmatrix} 2 & 1 \\ 1 & 2 \end{bmatrix} \quad \text{consistent mass matrix} \quad (170b)$$

e	e <sub>1</sub>	e <sub>2</sub>	e <sub>3</sub>	e <sub>4</sub>
$k^e$	$\begin{bmatrix} \bar{1} & 1 \\ 1 & 2-2 \end{bmatrix}$	$\begin{bmatrix} 1 & 1 \\ 1 & 2-2 \end{bmatrix}$	$\begin{bmatrix} 2 & 3 \\ 2 & 2-2 \end{bmatrix}$	$\begin{bmatrix} 3 & 4 \\ 3 & 2-2 \end{bmatrix}$
$M^e$	$\begin{bmatrix} \bar{1} & 1 \\ \frac{1}{3} & \frac{1}{6} \\ \frac{1}{6} & \frac{1}{3} \end{bmatrix}$	$\begin{bmatrix} 1 & 1 \\ \frac{1}{3} & \frac{1}{6} \\ \frac{1}{6} & \frac{1}{3} \end{bmatrix}$	$\begin{bmatrix} 2 & 3 \\ \frac{1}{3} & \frac{1}{6} \\ \frac{1}{6} & \frac{1}{3} \end{bmatrix}$	$\begin{bmatrix} 3 & 4 \\ \frac{1}{3} & \frac{1}{6} \\ \frac{1}{6} & \frac{1}{3} \end{bmatrix}$

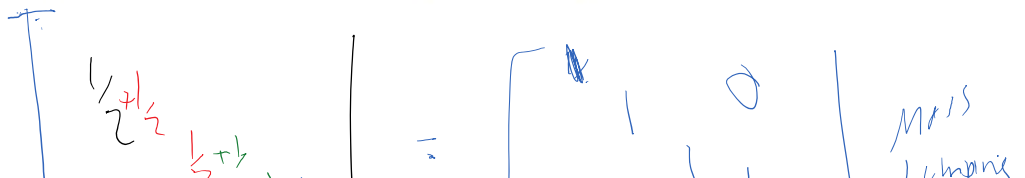
  

e	e <sub>1</sub>	e <sub>2</sub>	e <sub>3</sub>	e <sub>4</sub>
$f_D^e$	$\begin{bmatrix} \bar{1} & 0 \\ 1 & 0 \end{bmatrix}$	$\begin{bmatrix} 1 & 0 \\ 2 & 0 \end{bmatrix}$	$\begin{bmatrix} 2 & 0 \\ 3 & 0 \end{bmatrix}$	$\begin{bmatrix} 3 & 0 \\ 4 & 0 \end{bmatrix}$
$f_e^e$	$\begin{bmatrix} \bar{1} & 0 \\ 1 & 0 \end{bmatrix}$	$\begin{bmatrix} 1 & 0 \\ 2 & 0 \end{bmatrix}$	$\begin{bmatrix} 2 & 0 \\ 3 & 0 \end{bmatrix}$	$\begin{bmatrix} 3 & 0 \\ 4 & 0 \end{bmatrix}$

$$K = \begin{bmatrix} 2+2 & -2 & 0 & 0 \\ -2 & 2+2 & -2 & 0 \\ 0 & -2 & 2+2 & -2 \\ 0 & 0 & -2 & 2 \end{bmatrix} = \begin{bmatrix} 4 & -2 & 0 & 0 \\ -2 & 4 & -2 & 0 \\ 0 & -2 & 4 & -2 \\ 0 & 0 & -2 & 2 \end{bmatrix}$$
  

$$M = \begin{bmatrix} \frac{1}{3} + \frac{1}{3} & \frac{1}{6} & 0 & 0 \\ \frac{1}{6} & \frac{1}{3} + \frac{1}{3} & \frac{1}{6} & 0 \\ 0 & \frac{1}{6} & \frac{1}{3} + \frac{1}{3} & \frac{1}{6} \\ 0 & 0 & \frac{1}{6} & \frac{1}{3} \end{bmatrix} = \begin{bmatrix} \frac{2}{3} & \frac{1}{6} & 0 & 0 \\ \frac{1}{6} & \frac{2}{3} & \frac{1}{6} & 0 \\ 0 & \frac{1}{6} & \frac{2}{3} & \frac{1}{6} \\ 0 & 0 & \frac{1}{6} & \frac{2}{3} \end{bmatrix}, \quad F = F_N + F_e = \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \end{bmatrix} + \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \end{bmatrix} \Rightarrow$$

Mass lumping option



$$\begin{bmatrix} \frac{1}{2} + \frac{1}{2} & & & \\ & \frac{1}{2} + \frac{1}{2} & & \\ & & \frac{1}{2} + \frac{1}{2} & \\ & & & \frac{1}{2} + \frac{1}{2} \end{bmatrix} = \begin{bmatrix} 1 & & & \\ & 1 & & \\ & & 1 & \\ & & & 1 \end{bmatrix} \quad \text{mass lumping}$$

$$M\ddot{a} + Ka = F \quad \text{that is} \quad \begin{bmatrix} \frac{2}{3} & \frac{1}{6} & 0 & 0 \\ \frac{1}{6} & \frac{2}{3} & \frac{1}{6} & 0 \\ 0 & \frac{1}{6} & \frac{2}{3} & \frac{1}{6} \\ 0 & 0 & \frac{1}{6} & \frac{1}{3} \end{bmatrix} \begin{bmatrix} \ddot{a}_1 \\ \ddot{a}_2 \\ \ddot{a}_3 \\ \ddot{a}_4 \end{bmatrix} + \begin{bmatrix} 4 & -2 & 0 & 0 \\ -2 & 4 & -2 & 0 \\ 0 & -2 & 4 & -2 \\ 0 & 0 & -2 & 2 \end{bmatrix} \begin{bmatrix} a_1 \\ a_2 \\ a_3 \\ a_4 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \\ F(t) \end{bmatrix}$$

**consistent**  
mass matrix

(172) more appropriate for implicit solve

with **lumped** mass matrix (171b) we would have got

$$M\ddot{a} + Ka = F \quad \text{that is} \quad \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & \frac{1}{2} \end{bmatrix} \begin{bmatrix} \ddot{a}_1 \\ \ddot{a}_2 \\ \ddot{a}_3 \\ \ddot{a}_4 \end{bmatrix} + \begin{bmatrix} 4 & -2 & 0 & 0 \\ -2 & 4 & -2 & 0 \\ 0 & -2 & 4 & -2 \\ 0 & 0 & -2 & 2 \end{bmatrix} \begin{bmatrix} a_1 \\ a_2 \\ a_3 \\ a_4 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \\ F(t) \end{bmatrix}$$

**lumped**  
mass matrix

(173) explicit solve  
M is on LHS & M<sup>-1</sup> is trivial

Solution methods for (semi) discrete problem:

$$M\ddot{a} + Ka = F$$

### 3.1.1 Modal analysis: Motivation

- We are interested in solving the system,

$$M\ddot{U} + C\dot{U} + KU = R \quad (174)$$

change of coordinate  $x = Px(t)$

$$MP\ddot{x} + CP\dot{x} + KP x = R$$

premultiply  $P^T$

$$\underbrace{(P^T M P)}_{\tilde{M}} \ddot{x} + \underbrace{(P^T C P)}_{\tilde{C}} \dot{x} + \underbrace{(P^T K P)}_{\tilde{K}} x = P^T R$$

look for  $P$ 's that make  $\tilde{M}, \tilde{C}, \tilde{K}$  nice enough (e.g. diagonal)

once we find  $x(t)$   $U(x) = P x(t)$

### 3.1.2 Modal analysis: Natural modes and frequencies

- Modal analysis facilitate an finding appropriate choice for  $P$ .
- Consider (174) but without the damping term,

$$M\ddot{U} + KU = R \quad \text{Matrix equations without damping term} \quad (177)$$

$$(1) \quad \begin{bmatrix} \vdots & \vdots & \vdots & \vdots \end{bmatrix} \begin{bmatrix} \vdots & \vdots & \vdots & \vdots \end{bmatrix} = M$$

$U = \phi \sin(\omega(t-t_0))$   
 ↓ natural mode  
 $N \times 1$  vector

$M$   
 $n \times n$   
 # of free dots

assume this is the solution

$\ddot{U} = -\phi \omega^2 \sin(\omega(t-t_0))$        $(-M\omega^2 \phi + K\phi) \sin(\omega(t-t_0)) = 0$

$K\phi = \omega^2 M\phi$  → generalized eigenvalue problem  
 ↓ natural frequency

$K, M$  are symmetric &  $K \succ 0$  positive definite       $M \succ 0$  positive definite

$K\phi_i = \lambda_i M\phi_i$        $\lambda_i$  &  $\phi_i$  eigenvalue, vector  $\phi_i$

(1)  $\lambda_i \geq 0$       ( $\lambda_i = \omega_i^2 \rightarrow \omega_i = \sqrt{\lambda_i}$ )       $(u, v) = u \cdot Mv$

(2) for  $i \neq j$  choose  $\phi_i$  &  $\phi_j \Rightarrow \phi_i^T M \phi_j = \delta_{ij}$  → "Mass normal"

(1)  $\phi_i^T K \phi_j = \lambda_i \phi_i^T M \phi_j$       no sum on  $i$   
 ↓ positive

→  $\lambda_i = \frac{\phi_i^T K \phi_i}{\phi_i^T M \phi_i} \geq 0 = \frac{\phi_i^T K \phi_i}{\phi_i^T M \phi_i}$        $M = M^T$

(2)  $\phi_j^T (K\phi_i = \lambda_i M\phi_i)$        $\phi_j^T K\phi_i = \lambda_i \phi_j^T M\phi_i$

$$\begin{aligned} \phi_j \cdot (K\phi_i = \lambda_i M\phi_i) & \quad \phi_j \cdot K\phi_i = \lambda_i \phi_j^T M\phi_i \\ \phi_i \cdot (K\phi_j = \lambda_j M\phi_j) & \quad \phi_i \cdot K\phi_j = \lambda_j \phi_i^T M\phi_j \end{aligned}$$

subtract

$$(\lambda_i - \lambda_j) \phi_i^T M\phi_j = 0 \quad \Rightarrow \quad 0 = (\lambda_i - \lambda_j) \phi_i^T M\phi_j$$

$\lambda_i \neq \lambda_j \rightarrow \phi_i^T M\phi_j = 0$   
 If  $\lambda_i$  is repeated as usual we can find distinct  $M$  normal eigenvalues for it

- $\Phi$  is an  $n \times 1$  vector called **mode shape** or **natural mode** which captures the shape of vibration.
- $\omega$  is the **natural frequency**.
- Substituting (178) in (177) we obtain a **generalized eigenproblem**,

$$K\Phi = \omega^2 M\Phi \quad (179)$$

- The eigenproblem (179) yields  $n$  eigen solutions,

$$(\omega_1^2, \Phi_1), (\omega_2^2, \Phi_2), \dots, (\omega_n^2, \Phi_n) \quad (180)$$

- The eigenvectors (mode shapes / natural modes) are **M-orthonormalized**,

$$\phi_i^T M \phi_j = \delta_{ij}, \quad \phi_i^T M \phi_j = \begin{cases} 1 & i=j \\ 0 & i \neq j \end{cases} \quad (181a)$$

$$0 \leq \omega_1^2 \leq \omega_2^2 \leq \dots \leq \omega_n^2 \quad (181b)$$

$$\Phi^T = \begin{bmatrix} \phi_1 \\ \phi_2 \\ \vdots \\ \phi_n \end{bmatrix} \quad M \quad \begin{bmatrix} \phi_1 & \phi_2 & \phi_3 & \dots & \phi_n \end{bmatrix} = I_{n \times n}$$

matrix of eigen vectors natural modes

$$\begin{aligned} \Phi^T M \Phi &= I \\ \Phi^T K \Phi &= \Omega^2 && K \Phi = \omega^2 M \\ \Omega &= \begin{pmatrix} \omega_1 & & \\ & \ddots & \\ & & \omega_n \end{pmatrix} \\ \Phi^{-1} &= \Phi^T M && ((\Phi^T M) \Phi = I) \end{aligned}$$

Beyond finding natural modes and frequencies, modal analysis can also be used to solve

$$\begin{aligned} & M \ddot{U} + K U = R \\ \Phi^T \downarrow & M \Phi \ddot{X} + K \Phi X = R \\ & (\underbrace{\Phi^T M \Phi}_I) \ddot{X} + \underbrace{\Phi^T K \Phi}_{\Omega^2} X = \Phi^T R \end{aligned} \quad \begin{aligned} U &= \Phi X \\ & \underbrace{\Phi}_{P \text{ matrix}} \end{aligned}$$

$$\boxed{\ddot{X} + \Omega^2 X = \Phi^T R}$$

$$R_x = \Phi^T R$$

$$\begin{aligned} \ddot{x}_1 + \omega_1^2 x_1 &= R_{x_1}(t) \\ \ddot{x}_2 + \omega_2^2 x_2 &= R_{x_2}(t) \\ &\vdots \\ \ddot{x}_n + \omega_n^2 x_n &= R_{x_n}(t) \end{aligned}$$

Single-degree-of-freedom (SDOF) oscillators where we know the solution.

we also need IC

$$U(0) = U_0 \quad \dot{U}(0) = \dot{U}_0$$



$$U(0) = U_0 \quad \dot{U}(0) = \dot{U}_0$$

need  $X(t)$  &  $\dot{X}(t)$

$$U = \Phi X \rightarrow$$

$$X = \Phi^{-1} U$$

$$\Phi^T M U$$

$$(\Phi^T M \Phi^{-1})$$

$$X(0) = \Phi^T M U_0$$

$$\dot{X}(0) = \Phi^T M \dot{U}_0$$

IC's for X

$$\ddot{X}_1 + \omega_1^2 X_1 = R_{x_1}(t) \quad X_1(0) = X_1(0) \quad \dot{X}_1(0) = \dot{X}_1(0)$$

⋮

$$\ddot{X}_n + \omega_n^2 X_n = R_{x_n}(t) \quad X_n(0) \checkmark \quad \dot{X}_n(0) \checkmark$$

we need to solve  $N$  SDOF oscillators  
they look like

$$\ddot{x} + \omega^2 x = f(t) \quad x(0) = x_0 \quad \dot{x}(0) = \dot{x}_0$$

what is the solution of this

$$x(t) = \frac{1}{\omega} \int_0^t f(\tau) \sin(\omega(t-\tau)) d\tau + \underbrace{\alpha}_{\frac{x_0}{\omega}} \sin \omega t + \underbrace{\beta}_{\omega t} \cos \omega t$$

general soln for homogeneous soln

Duhamel's soln

$$x(0) = 0 \quad \rightarrow \quad \beta = x_0$$

$$\dot{x}(0) = 0 \quad \dot{x}_0 = \omega \alpha \quad \rightarrow \quad \alpha = \frac{\dot{x}_0}{\omega}$$