

## Energy Method for Solid Mechanics

The total energy in solid mechanics is,

$$\Pi = (V - W) - T = \text{Total energy} \quad (85a)$$

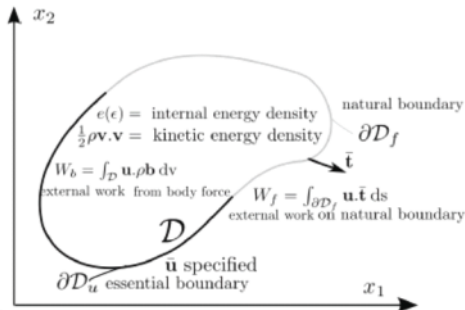
$$T = \int_D \frac{1}{2} \rho \mathbf{v} \cdot \mathbf{v} \, dv = \text{Kinetic energy} \quad (85b)$$

$$V = \int_D e(\epsilon) \, dv = \text{Internal energy} \quad (85c)$$

$$W = W_b + W_f = \text{External work} \quad (85d)$$

$$W_b = \int_D \mathbf{u} \cdot \rho \mathbf{b} \, dv \quad (85e)$$

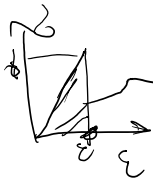
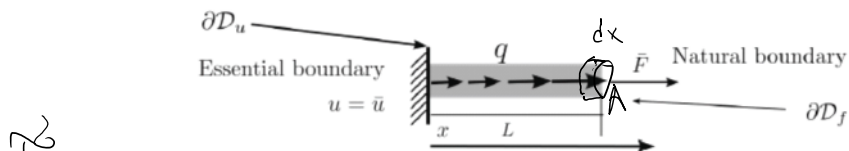
$$W_f = \int_{\partial D_f} \mathbf{u} \cdot \bar{\mathbf{t}} \, ds \quad (85f)$$



- For static problems  $T = 0$ .
- Internal energy density,  $e(\epsilon) = \frac{1}{2} \epsilon : \sigma(\epsilon) = \frac{1}{2} C_{ijkl} \epsilon_{ij} \epsilon_{kl}$  for linear solid.
- Natural boundary forces are naturally incorporated into the energy ( $W_f$ ).
- Essential boundary conditions are incorporated into function space:

$$\mathbf{u} \in \mathcal{V} = \{ \mathbf{v} \mid \mathbf{v} \in C^1(D) : \forall \mathbf{x} \in \partial D_u \, \mathbf{v}(\mathbf{x}) = \bar{\mathbf{u}}(\mathbf{x}) \}, \text{ is a solution if } \forall \tilde{\mathbf{u}} \in \mathcal{V}, \Pi(\mathbf{u}) \leq \Pi(\tilde{\mathbf{u}}). \quad (86)$$

## Energy Method for 1D solid bar (statics)



$$T = 0 \text{ statics} \quad (87a)$$

$$V = \int_0^L \frac{1}{2} \epsilon \sigma \, dv = \int_0^L \frac{1}{2} \epsilon (E \epsilon) (A dx) = \int_0^L \frac{1}{2} EA \left( \frac{du}{dx} \right)^2 dx \quad (87b)$$

$$W_b = \int_0^L u(x) q(x) \, dx \quad (87c)$$

$$W_f = u(L) \bar{F} \quad (87d)$$

where  $A$  is the cross section area and  $q(x)$  is distributed load. Thus the total energy is,

$$\Pi(u) = \int_0^L \frac{1}{2} EA \left( \frac{du}{dx} \right)^2 dx - \int_0^L u(x) q(x) \, dx - u(L) \bar{F} \quad (88)$$

Since  $\partial D_u = \{0\}$  with the essential boundary condition  $u(0) = \bar{u}$ , the energy statement is,

$$\text{Find } u \in \mathcal{V} = \{ v \mid v \in C^1(D) : v(0) = \bar{u} \}, \text{ such that } \forall \tilde{u} \in \mathcal{V}, \Pi(u) \leq \Pi(\tilde{u}). \quad (89)$$

We want to find the solution that minimizes  $\Pi(u)$  and satisfies essential BC

$$f(x_0 + \Delta x) = f(x_0) + \Delta x f'(x_0) + \frac{\Delta x^2}{2} f''(x_0) + \dots$$

$$f(x_0 + \Delta x) = f(x_0) + \Delta x f'(x_0) + \frac{\Delta x^2}{2} f''(x_0) + \dots$$

Example

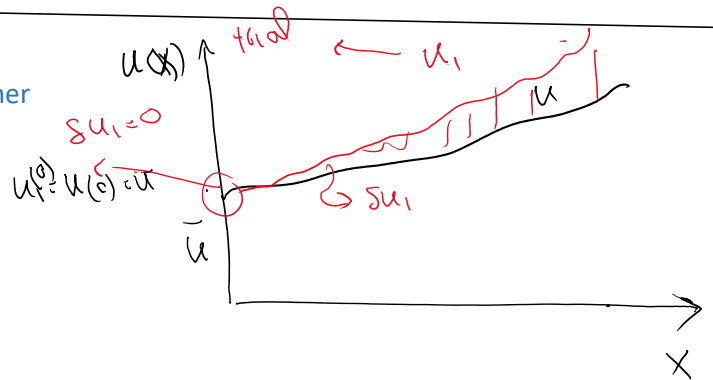
$$f(x) = x^3 \quad f(x_0 + \Delta x) = (x_0 + \Delta x)^3 = \underbrace{x_0^3}_{f(x_0)} + \underbrace{\Delta x (3x_0^2)}_{\delta^1 f} + \underbrace{\frac{\Delta x^2}{2} (6x_0)}_{\delta^2 f} + \underbrace{\frac{\Delta x^3}{6} (6)}_{\delta^3 f}$$

I'm going to expand the energy functional in a similar manner to see what the first and second increments are:

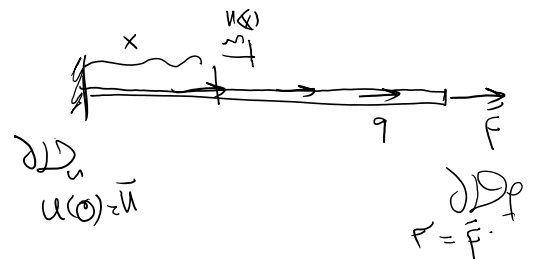
$u_1$  is a valid trial function

$$u_1(0) = \bar{u}$$

$u$  is the exact soln:



$$\Pi(u) \leq \Pi(u_1) = \Pi(u + \delta u_1)$$



$$\Pi(\tilde{u}) = \int_0^L \frac{1}{2} EA (\tilde{u}')^2 dx - \int_0^L \tilde{u} q dx - \tilde{u}(L) \bar{F}$$

any trial funct

for  $\tilde{u} = u_1 = u + \delta u_1$  we have

$$\begin{aligned} \Pi(u_1) &= \int_0^L \frac{1}{2} EA \{ (u + \delta u_1)' \}^2 dx - \int_0^L (u + \delta u_1) q dx - (u + \delta u_1)(x=L) \bar{F} \\ &= \int_0^L \frac{1}{2} EA (u' + \delta u_1')^2 dx - \int_0^L u q dx - \int_0^L \delta u_1 q dx - u(L) \bar{F} - \delta u_1(L) \bar{F} \\ &= \int_0^L \frac{1}{2} EA (u'^2 + \underbrace{2u' \delta u_1'}_{\text{green}} + \underbrace{\delta u_1'^2}_{\text{orange}}) dx - \int_0^L u q dx - \underbrace{u(L) \bar{F}}_{\text{green}} \\ &\quad - \int_0^L \delta u_1 q dx - \underbrace{\delta u_1(L) \bar{F}}_{\text{green}} = \end{aligned}$$

-0

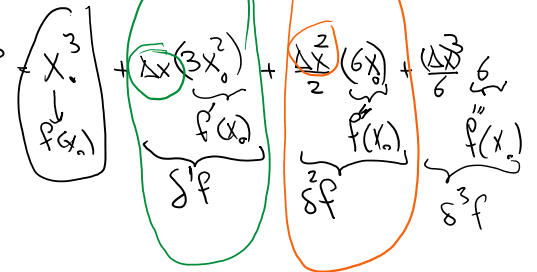
$$- \int \delta u_1 q dx - \delta u_1(L) F =$$

$$\Rightarrow -\Pi(u_1) = \Pi(u + \delta u_1) = \underbrace{\int_0^L \frac{1}{2} EA u'^2 dx - \int_0^L u q dx - u(L) \bar{F}}_{\Pi(u)} + \underbrace{\int_0^L \delta u_1' EA u' dx - \int_0^L \delta u_1 q dx - \delta u_1(L) \bar{F}}_{\delta \Pi} + \underbrace{\int_0^L \frac{1}{2} EA (\delta u_1')^2 dx}_{\delta^2 \Pi}$$

Example

$$f(x) = x^3$$

$$f(x_0 + \Delta x) = (x_0 + \Delta x)^3$$



$$\Pi(u + \delta u_1) - \Pi(u) = \delta \Pi + \delta^2 \Pi \geq 0$$

$$\delta \Pi = \int_0^L u' EA \delta u_1' dx - \int_0^L \delta u_1 q dx - \delta u_1(L) \bar{F}$$

$$\delta^2 \Pi = \int_0^L \frac{1}{2} EA (\delta u_1')^2 dx$$

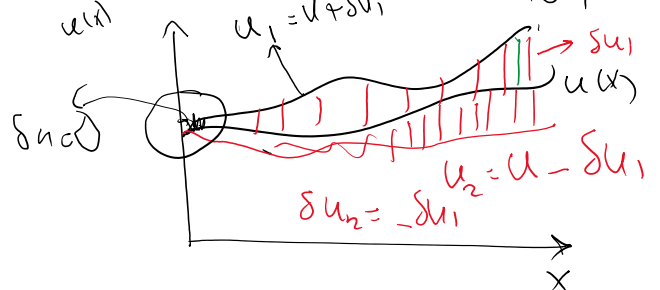
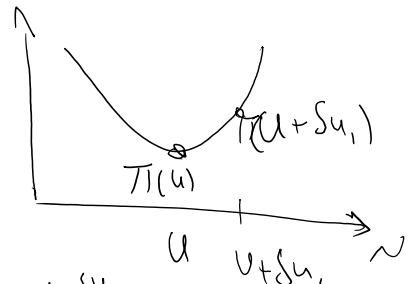
For minimum condition we must have:

$$\delta \Pi = 0$$

$$\delta^2 \Pi \geq 0 \text{ already } \geq 0$$

$\delta \Pi = 0$  is

$$\delta \Pi = \int_0^L \delta u_1' EA u' dx - \int_0^L \delta u_1 q dx - \delta u_1(L) \bar{F} = 0$$

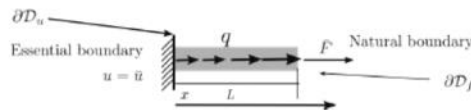


OR  
for all  $\delta u$  this is the weak statement

$$\int_0^L \delta u' \underbrace{EA}_{w'} u' dx = \int_0^L \delta u \underbrace{q}_{w} + \delta u(L) \underbrace{F}_{w(L)}$$

Conditions on  $\delta u \in W = \{f \in C^1([0, L]) \mid f(0) = 0\}$

### Example: 1D solid bar



Based on the condition  $\delta \Pi = 0$ ,  $u$  the solution from the energy method is,

Find  $u \in V = \{v \in C^1([0, L]) \mid v(0) = \bar{u}\}$ , such that,  
 $\forall \delta u \in W = \{v \in C^1([0, L]) \mid v(0) = 0\}$

$$\delta \Pi = \int_0^L \delta u'(x) EA u'(x) dx - \int_0^L \delta u(x) q(x) dx - \delta u(L) F = 0 \quad (98)$$

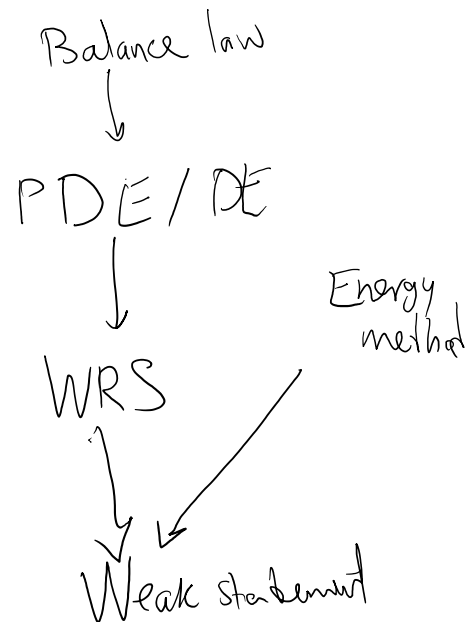
- What would be the weak form for this problem based on the balance law/Differential Equation approach (cf. (73))?

Find  $u \in V = \{v \in C^1([0, L]) \mid v(0) = \bar{u}\}$ , such that,  
 $\forall w \in W = \{v \in C^1([0, L]) \mid v(0) = 0\}$

$$\delta \Pi = \int_0^L w'(x) EA u'(x) dx - \int_0^L w(x) q(x) dx - w(L) F = 0 \quad (99)$$

- What are the differences between this weak statement and the optimality condition obtained from energy method?

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Is there any easier way to calculate the first and second increments, like taking derivatives?

$$f(x_0 + \Delta x, y_0 + \Delta y) = f(x_0, y_0) + \left[ \frac{\partial f}{\partial x}(x_0, y_0) \Delta x + \frac{\partial f}{\partial y}(x_0, y_0) \Delta y \right] + \left[ \frac{1}{2} \frac{\partial^2 f}{\partial x^2} \Delta x^2 + \frac{\partial^2 f}{\partial x \partial y} \Delta x \Delta y + \frac{1}{2} \frac{\partial^2 f}{\partial y^2} \Delta y^2 \right] + \dots$$

$z = f(x, y)$

$$\delta f(x, y) = \frac{\partial f}{\partial x} \Delta x + \frac{\partial f}{\partial y} \Delta y$$

Calculating functional increments is very similar

$$\begin{aligned} \Pi(u, u') &= \int_0^L \left( \frac{1}{2} EA u'^2 \right) dx - \int_0^L q u dx - u(L) \bar{F} \\ \delta \Pi(u, u') &= \int_0^L \left( \frac{\partial}{\partial u} \left( \frac{1}{2} EA u'^2 \right) \delta u \right) dx + \int_0^L \left( \frac{\partial}{\partial u'} \left( \frac{1}{2} EA u'^2 \right) \delta(u') \right) dx \\ &\quad - \int_0^L \left( \frac{\partial q u}{\partial u} \right) \delta u + \frac{\partial q u}{\partial u'} \delta(u') dx \\ &\quad - \frac{\partial u(L)}{\partial u} \delta u(L) \bar{F} - \frac{\partial u(L)}{\partial u'} \delta(u'(L)) \bar{F} \\ \delta \Pi &= \int_0^L (EA u') \delta(u') dx - \int_0^L q \delta u dx - \delta u(L) \bar{F} \end{aligned}$$

Does it match  $\delta \pi$  below

$$\begin{aligned} \Pi(u + \delta u) - \Pi(u) &= \delta \Pi + \delta^2 \Pi \geq 0 \\ \delta \Pi &= \int_0^L u' EA \delta u' dx - \int_0^L \delta u q dx - \delta u(L) \bar{F} \\ \delta^2 \Pi &= \int_0^L \frac{1}{2} EA (\delta u')^2 dx \end{aligned}$$

Energy Statement  $\int_0^L EA u'^2 dx - \int_0^L q u dx - u(L) \bar{F}$

energy statement

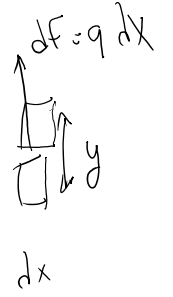
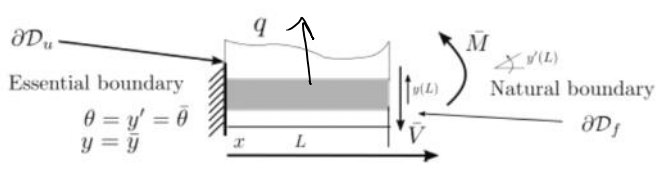
$$\Pi(u, u') = \int_0^L \frac{1}{2} EA u'^2 dx - \int_0^L u q dx - u(L) \bar{F}$$

$$\delta \Pi = \int_0^L \frac{\partial \frac{1}{2} EA u'^2}{\partial u'} \delta(u') dx - \int_0^L \frac{\partial u q}{\partial u} \delta u dx - \delta u(L) \bar{F}$$

weak statement

$$\int_0^L (EA u') \delta(u') dx - \int_0^L q \delta u dx - \delta u(L) \bar{F}$$

Example: Euler Bernoulli beam



We determined the internal energy of the beam to be (cf. (85c)),

$$V = \int_D \frac{1}{2} \epsilon \sigma dv = \int_0^L \left( \int_A \frac{1}{2} \epsilon^2 E dA \right) dx = \int_0^L \left( \int_A \frac{1}{2} \left( \frac{d^2 y}{dx^2} z \right)^2 E dA \right) dx$$

$$= \int_0^L \frac{1}{2} E \left( \frac{d^2 y}{dx^2} \right)^2 \underbrace{\left( \int_A z^2 dA \right)}_I dx \Rightarrow$$

$$V = \int_0^L \frac{1}{2} EI \left( \frac{d^2 y}{dx^2} \right)^2 dx$$

(100)

The external works are:

$$W_b = \int_0^L y(x) q(x) dx$$
(101a)

$$W_f = y(L)(-V) + \frac{dy}{dx}(L)M = -y(L)V + \frac{dy}{dx}(L)M$$
(101b)

$$\Pi(y, y'') = V - W = \int_0^L \frac{1}{2} EI y''^2 dx - \int_0^L y q dx + y(L)V$$

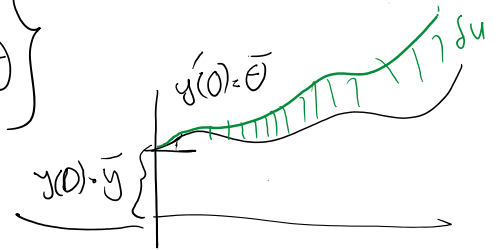
$$\delta \Pi = \int_0^L \frac{\partial \frac{1}{2} EI y''^2}{\partial y''} \delta(y'') dx - \int_0^L (\delta y) q dx + \delta y(L)V - \delta y'(L)M$$

$$\int_0^L EI y'' \delta y \, dx = \delta y(L) \bar{V} + \delta y'(L) \bar{M}$$

$$\int_0^L (\delta y)'' EI y'' \, dx = \int_0^L \delta y \, p \, dx - \delta y(L) \bar{V} + \delta y'(L) \bar{M}$$

$$\delta y \in W = \left\{ f \in C^2(0, L) \mid f(0) = 0, f'(0) = 0 \right\}$$

$$y \in V = \left\{ f \in C^2(0, L) \mid f(0) = \bar{y}, f'(0) = \bar{\theta} \right\}$$

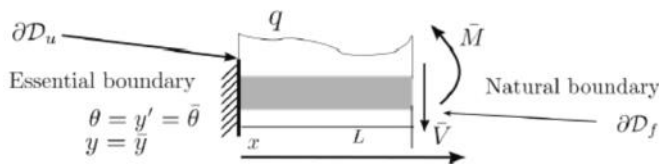


Other approach:  
Balance law -> Strong form (DEs)

Des -> WRS

## Weighted residual statement to Weak statement

To demonstrate the process of deriving the **weak statement** from the **weighted residual statement** consider the following problem:



The residuals for this problem are:

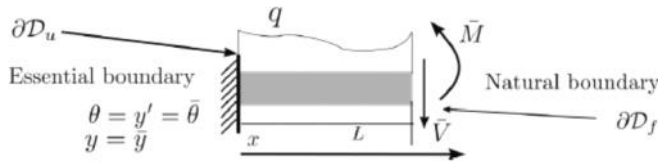
$$\begin{aligned} \mathcal{R}_i &= \frac{d^2}{dx^2} \left( EI \frac{d^2 y}{dx^2} \right) - q && \text{Interior residual for } \mathcal{D} = [0, L] \\ \mathcal{R}_f &= \begin{bmatrix} \bar{M} - M \\ \bar{V} - V \end{bmatrix} && \text{Natural BC residual for } \partial \mathcal{D}_f = \{L\} \\ \mathcal{R}_u &= \begin{bmatrix} \bar{\theta} - \theta \\ \bar{y} - y \end{bmatrix} && \text{Essential BC residual for } \partial \mathcal{D}_u = \{0\} \end{aligned} \quad (53)$$

As mentioned previously, we want to drop the weighted residual term for essential boundary condition (why?). Accordingly, we need to **strongly** enforce the **essential** boundary condition (This is why this is called "essential" boundary condition). That is, we require:

$$\mathcal{R}_u = \begin{bmatrix} \bar{\theta} - \theta \\ \bar{y} - y \end{bmatrix} = 0 \quad \text{at } x = 0 \ (\partial \mathcal{D}_u). \quad (54)$$

## Weighted residual statement to Weak statement

## Weighted residual statement to Weak statement



Since we strongly enforce the essential boundary condition, the weighted residual for this problem simplifies to:

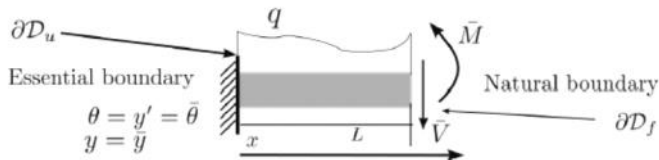
$$\begin{aligned}
 0 &= \int_{\mathcal{D}} w \mathcal{R}_i(y) \, dv + \int_{\partial \mathcal{D}_f} w_f \mathcal{R}_f(y) \, ds \\
 &= \int_0^L w \left( \frac{d^2}{dx^2} \left( EI \frac{d^2 y}{dx^2} \right) - q \right) dx + \left[ -\frac{dw}{dx} \right] \cdot \left[ \begin{matrix} \bar{M} - M \\ \bar{V} - V \end{matrix} \right] \Big|_{x=L} \\
 &= \int_0^L w \left( \frac{d^2}{dx^2} \left( EI \frac{d^2 y}{dx^2} \right) - q \right) dx - \frac{dw}{dx} (\bar{M} - M(y)) \Big|_{x=L} + w(\bar{V} - V(y)) \Big|_{x=L}
 \end{aligned} \tag{55}$$

Next, we transfer derivatives from  $y$  to  $w$  (trial function to weight function). We note that

$$\begin{aligned}
 \int_0^L w \frac{d^2}{dx^2} \left( EI \frac{d^2 y}{dx^2} \right) dx &= \int_0^L \left[ -\frac{dw}{dx} \frac{d}{dx} EI \left( \frac{d^2 y}{dx^2} \right) \right] dx + \left[ w \frac{d}{dx} \left( EI \frac{d^2 y}{dx^2} \right) \right] \Big|_{x=0}^{x=L} \\
 &= \int_0^L \left[ \frac{d^2 w}{dx^2} EI \frac{d^2 y}{dx^2} \right] dx + [wV(y)] \Big|_{x=0}^{x=L} - \left[ \frac{dw}{dx} \left( EI \frac{d^2 y}{dx^2} \right) \right] \Big|_{x=0}^{x=L}
 \end{aligned} \tag{56}$$

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## Weighted residual statement to Weak statement



Plugging (55) in (56) yields,

$$\begin{aligned}
 0 &= \int_0^L w \left( \frac{d^2}{dx^2} \left( EI \frac{d^2 y}{dx^2} \right) - q \right) dx - \frac{dw}{dx} (\bar{M} - M(y)) \Big|_{x=L} + w(\bar{V} - V(y)) \Big|_{x=L} \\
 &= \left\{ \int_0^L \left[ \frac{d^2 w}{dx^2} EI \frac{d^2 y}{dx^2} - wq \right] dx + \left[ wV(y) - \frac{dw}{dx} M(y) \right] \Big|_{x=0}^{x=L} \right\} \\
 &\quad - \frac{dw}{dx} (\bar{M} - M(y)) \Big|_{x=L} + w(\bar{V} - V(y)) \Big|_{x=L} \\
 &= \int_0^L \left[ \frac{d^2 w}{dx^2} EI \frac{d^2 y}{dx^2} - wq \right] dx \\
 &\quad + \left\{ wV(y) - \frac{dw}{dx} M(y) - \frac{dw}{dx} (\bar{M} - M(y)) + w(\bar{V} - V(y)) \right\} \Big|_{x=L} \\
 &\quad - \left\{ wV(y) - \frac{dw}{dx} M(y) \right\} \Big|_{x=0}
 \end{aligned} \tag{57}$$

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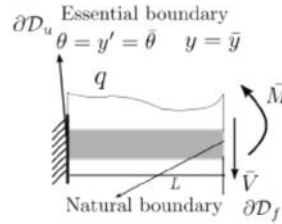
## Weighted residual statement to Weak statement

This equation simplifies to

$$0 = \int_0^L \left[ \frac{d^2 w}{dx^2} EI \frac{d^2 y}{dx^2} - wq \right] dx + \left\{ -\frac{dw}{dx} \bar{M} + w\bar{V} \right\}_{x=L} \quad (58a)$$

$$+ \left\{ w(V(y) - \bar{V}(y)) - \frac{dw}{dx} (M(y) - \bar{M}(y)) \right\}_{x=L} \quad (58b)$$

$$- \left\{ wV(y) - \frac{dw}{dx} M(y) \right\}_{x=0} \quad (58c)$$



Noting that  $M(y) = EI \frac{d^2 y}{dx^2}$  and  $V(y) = \frac{d}{dx} \left( EI \frac{d^2 y}{dx^2} \right)$  (second and third order derivatives in  $x$ ):

- What is the maximum derivative order for  $y$  in the interior of the beam (58a)? 2.
- What is the maximum derivative order for  $w$  in the interior of the beam (58a)? 2.
- Are the remaining terms at  $x = L$  (natural boundary) identically zero (58b)? Yes.
- What is the maximum derivative order for  $y$  at  $x = 0$  (Essential boundary) (58c)? 3.
- What is the maximum derivative order for  $w$  at  $x = 0$  (Essential boundary) (58c)? 1.

### Summary

For the differential equation of order  $M = 4$  ( $m = 2$ ) we have been able to equally distribute differential orders between trial function ( $y$ ) and weight function ( $w$ ) (order =  $m = 2$ ). The only term that violates this is the essential boundary where  $y$  has higher order derivative. We fix this problem by requiring  $w$  and  $\frac{dw}{dx}$  to be identically zero at  $x = 0$ .

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## Essential boundary condition

We mentioned that the essential boundary condition is strongly enforced (That is, it is an "essential" condition). The essential conditions (54) require,

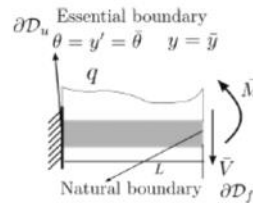
$$\mathcal{R}_u = \left[ \begin{array}{c} \bar{\theta} - \theta \\ \bar{y} - y \end{array} \right] = 0 \Rightarrow \left\{ \begin{array}{c} \frac{dy}{dx} = \bar{\theta} \\ y = \bar{y} \end{array} \right\}, \text{ at } x = 0 \ (\partial\mathcal{D}_u) \quad (59)$$

We discussed that to annihilate the high order derivatives of  $y$  in (58c):

$$- \left\{ wV(y) - \frac{dw}{dx} M(y) \right\}_{x=0}$$

we set the corresponding weight functions identically zero:

$$\left\{ \begin{array}{c} \frac{dw}{dx} = 0 \\ w = 0 \end{array} \right\}, \text{ at } x = 0 \ (\partial\mathcal{D}_u) \quad (60)$$

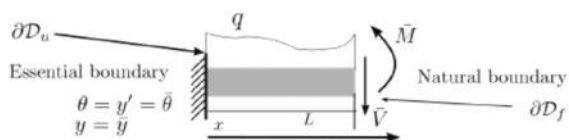


### Summary

- 1 Trial,  $y$ , (solution) functions **exactly** satisfy all **essential** boundary conditions.
- 2 Weight,  $w$ , functions **exactly** satisfy the **homogeneous essential** boundary conditions.
- 3 If both conditions are satisfied we can form a **weak statement** that requires **only half** the highest derivative order. In fact, this enlarged space of functions is the same as the space of the original balance law.

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## Weak Statement (WS)



The weak statement for the Euler Bernoulli problem and the BCs in the figure are:

$$\text{Find } y \in \mathcal{V} = \{u \in C^2(\mathcal{D}) \mid u(0) = \bar{y}, \frac{du}{dx}(0) = \bar{\theta}\}, \text{ such that,} \quad (62a)$$

$$\forall w \in \mathcal{W} = \{u \in C^2(\mathcal{D}) \mid u(0) = 0, \frac{du}{dx}(0) = 0\} \quad (62b)$$

$$0 = \int_0^L \left[ \frac{d^2 w}{dx^2} EI \frac{d^2 y}{dx^2} - wq \right] dx + \left\{ -\frac{dw}{dx} \bar{M} + w\bar{V} \right\}_{x=L} \quad (62c)$$

$$\delta(u') = (\delta u)'$$

$$u_1 = u + \underbrace{\delta u}_{\text{increment of } u}$$

$$u_1' = u' + (\delta u)'$$

$$(\delta u)' = \underbrace{u_1' - u'}_{\text{diff of } ( )'}, = \delta(u')$$

$$\delta u'$$

